Suppliment tal-Gazzetta tal-Gvern ta' Malta Nru. 21,478, 29 ta' Lulju, 2025
Taqsima B

L.N. 156 of 2025

INVESTMENT SERVICES ACT (CAP. 370)

Investment Firms Directive (Administrative Penalties, Measures and Investigatory Powers) (Amendment) Regulations, 2025

IN EXERCISE of the powers conferred by article 12 of the Investment Services Act, the Minister responsible for the regulation of financial services, acting on the advice of the Malta Financial Services Authority, has made the following regulations:-

Citation and scope.

1. (1) The title of these regulations is the Investment Firms Directive (Administrative Penalties, Measures and Investigatory Powers) (Amendment) Regulations, 2025 and these regulations shall be read and construed as one with the Investment Firms Directive (Administrative Penalties, Measures and Investigatory Powers) Regulations, hereinafter referred to as the "principal regulations". S.L. 370.48.

(2) The scope of these regulations is to further transpose, in part, Articles 18 and 19 of Directive (EU) 2019/2034 of the European Parliament and of the Council of 27 November 2019 on the prudential supervision of investment firms and amending Directives 2002/87/EC, 2009/65/EC, 2011/61/EU, 2013/36/EU, 2014/59/EU and 2014/65/EU.

Amends regulation 5 of the principal regulations.

2. Regulation 5 of the principal regulations shall be amended as follows:

(a) paragraph (b) thereof shall be amended as follows:

(i) the words "paragraphs (a)(i) to (iv)" shall be substituted by the words "paragraph (a)";

(ii) in sub-paragraph (ii) thereof the words "paragraphs (a)(i) to (iv)" shall be substituted by the words "paragraph (a)";

(iii) in sub-paragraph (iii) thereof the words "paragraphs (a)(i) to (iv)" shall be substituted by the words "paragraph (a)";

(b) in paragraph (c) thereof the words "paragraphs (a)(i) to (iv)" shall be substituted by the words "paragraph (a)".

Amends regulation 6 of the principal regulations.

3. In paragraph (a) of regulation 6 of the principal regulations the words "the natural person" shall be substituted by the words "the natural or legal person".

Ippubblikat mid-Dipartiment tal-Informazzjoni (doi.gov.mt) — Valletta — ***Published by the Department of Information (doi.gov.mt) — Valletta***
Mitbugħ fl-Istamperija tal-Gvern fuq karta FSC — ***Printed at the Government Printing Press on FSC certified paper***

Prezz/*Price*
€0.09